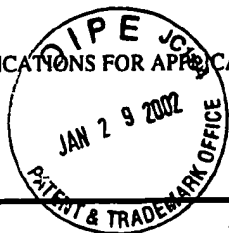


FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION  
DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.  
ROC920010113US1SERIAL NO.  
09/871,920

APPLICANT: Linda D. Edd, et al.

FILING DATE: June 1, 2001

GROUP: 2151 2176

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIALS |    | DOCUMENT<br>NUMBER | DATE          | NAME            | CLASS | SUB-<br>CLASS | FILING DATE<br>(IF APPRO.) |
|----------------------|----|--------------------|---------------|-----------------|-------|---------------|----------------------------|
| CS                   | AA | 5 6 7 1 4 2 8      | Sep. 23, 1997 | Muranaga et al. | 395   | 772           | Aug. 28, 1992              |
| CS                   | AB | 6 0 8 8 7 0 2      | Jul. 11, 2000 | Plantz et al.   | 707   | 103           | Feb. 25, 1998              |
| CS                   | AC | 6 1 2 2 6 4 7      | Sep. 19, 2000 | Horowitz et al. | 707   | 513           | May 19, 1998               |
| CS                   | AD | 6 1 8 5 5 8 7      | Feb. 6, 2001  | Bernardo et al. | 707   | 513           | Jun. 19, 1998              |
|                      | AE |                    |               |                 |       |               |                            |
|                      | AF |                    |               |                 |       |               |                            |
|                      | AG |                    |               |                 |       |               |                            |
|                      | AH |                    |               |                 |       |               |                            |
|                      | AI |                    |               |                 |       |               |                            |
|                      | AJ |                    |               |                 |       |               |                            |
|                      | AK |                    |               |                 |       |               |                            |

## FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS | SUB-<br>CLASS | TRANSLATION |    |
|--|----|--------------------|------|---------|-------|---------------|-------------|----|
|  |    |                    |      |         |       |               | YES         | NO |
|  | AL |                    |      |         |       |               |             |    |
|  | AM |                    |      |         |       |               |             |    |
|  | AN |                    |      |         |       |               |             |    |
|  | AO |                    |      |         |       |               |             |    |
|  | AP |                    |      |         |       |               |             |    |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

|  |    |  |  |  |  |  |  |  |
|--|----|--|--|--|--|--|--|--|
|  | AR |  |  |  |  |  |  |  |
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|  | AT |  |  |  |  |  |  |  |

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Sheet 1 (A) of 1

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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
IBM/199  
(ROC920010113US1)SERIAL NO.  
09/871,920INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTAPPLICANT  
Linda D. Edd et al.Confirmation No.  
1378FILING DATE  
June 1, 2001

GROUP

-246- 2176

(37 CFR 1.98(b))

(Use several sheets if necessary)

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | PATENT NUMBER | ISSUE<br>DATE | PATENTEE | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|---------------------|-----|---------------|---------------|----------|-------|----------|-------------------------------|
|                     | A.A |               |               |          |       |          |                               |
|                     | A.B |               |               |          |       |          |                               |
|                     | A.C |               |               |          |       |          |                               |
|                     | A.D |               |               |          |       |          |                               |
|                     | A.E |               |               |          |       |          |                               |
|                     | A.F |               |               |          |       |          |                               |
|                     | A.G |               |               |          |       |          |                               |
|                     | A.H |               |               |          |       |          |                               |
|                     | A.I |               |               |          |       |          |                               |
|                     | A.J |               |               |          |       |          |                               |
|                     | A.K |               |               |          |       |          |                               |

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|  |     | DOCUMENT<br>NUMBER | PUBLICATION<br>DATE | COUNTRY OR<br>PATENT OFFICE | CLASS | SUBCLASS | TRANSLATION<br>(YES/NO) |
|--|-----|--------------------|---------------------|-----------------------------|-------|----------|-------------------------|
|  | A.L |                    |                     |                             |       |          |                         |
|  | A.M |                    |                     |                             |       |          |                         |
|  | A.N |                    |                     |                             |       |          |                         |
|  | A.O |                    |                     |                             |       |          |                         |
|  | A.P |                    |                     |                             |       |          |                         |
|  | A.Q |                    |                     |                             |       |          |                         |

## OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

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|-----------|-----|--|
| <i>CE</i> | A.R | "Going mobile: Hummingbird's latest document manager frees users from network", <u>KMWorld Conference Preview</u> , Vol. 9, Issue 6, page 3, (July/August 2000). |
|           | A.S |  |
|           | A.T |  |

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